

UNITED STATES COURT OF APPEALS
FOR THE SECOND CIRCUIT

SUMMARY ORDER

RULINGS BY SUMMARY ORDER DO NOT HAVE PRECEDENTIAL EFFECT. CITATION TO A SUMMARY ORDER FILED ON OR AFTER JANUARY 1, 2007, IS PERMITTED AND IS GOVERNED BY FEDERAL RULE OF APPELLATE PROCEDURE 32.1 AND THIS COURT'S LOCAL RULE 32.1.1. WHEN CITING A SUMMARY ORDER IN A DOCUMENT FILED WITH THIS COURT, A PARTY MUST CITE EITHER THE FEDERAL APPENDIX OR AN ELECTRONIC DATABASE (WITH THE NOTATION "SUMMARY ORDER"). A PARTY CITING A SUMMARY ORDER MUST SERVE A COPY OF IT ON ANY PARTY NOT REPRESENTED BY COUNSEL.

At a stated term of the United States Court of Appeals
for the Second Circuit, held at the Thurgood Marshall United
States Courthouse, 40 Foley Square, in the City of New York,
on the 10th day of September, two thousand fifteen.

PRESENT: RALPH K. WINTER,
JOHN M. WALKER, JR.,
DENNIS JACOBS,
Circuit Judges.

- - - - -X
Louisa R. Ellis, ppa Elizabeth Ellis,
Elizabeth Ellis,
Plaintiff-Appellant,

-v.-

14-3460

YMCA Camp Mohawk, Inc.,
Defendant-Appellee.

- - - - -X
FOR APPELLANT: Megan L. Piltz, Sabatini and
Associates, LLC, Newington,
Connecticut.

FOR APPELLEES: Renee W. Dwyer and Katherine L.
Matthews, Gordon, Muir and
Foley, LLP, Hartford,
Connecticut.

1 Appeal from a judgment of the United States District
2 Court for the District of Connecticut (Thompson, J.).
3

4 **UPON DUE CONSIDERATION, IT IS HEREBY ORDERED, ADJUDGED**
5 **AND DECREED** that the judgment of the district court be
6 **AFFIRMED.**
7

8 Louisa Ellis and Elizabeth Ellis ("Appellants") appeal
9 from the judgment of the United States District Court for
10 the District of Connecticut (Thompson, J.), dismissing on
11 summary judgment their diversity action alleging negligence
12 against YCMA Camp Mohawk, Inc. ("YMCA"). Appellants argue
13 that the district court abused its discretion in determining
14 that their expert, Corey Andres, was not qualified to render
15 an expert opinion regarding the standard of care for an
16 equestrian course at the YMCA camp at which twelve-year-old
17 Louisa was injured. Appellants also argue that the district
18 court erred in determining that all of the issues presented
19 require expert testimony. We assume the parties'
20 familiarity with the underlying facts, the procedural
21 history, and the issues presented for review.
22

23 On July 18, 2011, Louisa Ellis fell from a pony while
24 taking horseback riding lessons at YMCA Camp Mohawk. Ellis
25 sustained injuries to her hand and elbow that required
26 surgery and therapy. Appellants identified Andres, an
27 employee of Robson Forensic, to investigate the claims and
28 to provide expert testimony. Andres claimed his expertise
29 based on his membership in the American Camp Association
30 ("ACA") and his study of therapeutic education at Ohio
31 State, University of Toledo, including a study pertaining to
32 equestrian matters. Andres's investigation concluded that
33 YMCA was negligent in failing to provide complete and proper
34 instruction as to how to fall from a horse in a way that
35 minimizes injury.
36

37 The district court excluded Andres's expert testimony
38 on the ground that he had limited experience in the field of
39 horseback riding. Therefore, appellants' failure to produce
40 an expert where expert testimony was required led the
41 district court to grant summary judgment.
42

43 A grant of summary judgment is reviewed de novo to
44 determine whether any genuine issues of material fact would
45 bar summary judgment. Zurich Am. Ins. Co. v. ABM Indus.,
46 Inc., 397 F.3d 158, 164 (2d Cir. 2005). We review the
47 district court's evidentiary ruling under an abuse-of-

1 discretion standard. See id. at 171-72. "Either an error
2 of law or a clear error of fact may constitute an abuse of
3 discretion." Schering Corp. v. Pfizer, Inc., 189 F.3d 218,
4 224 (2d Cir. 1999) (internal quotation marks and citations
5 omitted). A district court's qualification of an expert
6 witness will only be overturned if it is manifestly
7 erroneous. United States v. Barrow, 400 F.3d 109, 123 (2d
8 Cir. 2005).

9
10 In a diversity action, whether expert testimony is
11 required is a matter of state law, whereas the admissibility
12 of a given expert witness is governed by the Federal Rules
13 of Evidence. See 29 Charles Alan Wright & Arthur R. Miller,
14 Federal Practice and Procedure § 6263; see also Beaudette v.
15 Louisville Ladder Inc., 462 F.3d 22, 27 (1st Cir. 2006).
16 Under Connecticut state law, expert testimony is required
17 when a matter goes "beyond the ordinary knowledge and
18 experience of judges or jurors." LePage v. Horne, 809 A.2d
19 505, 511 (Conn. 2002). Connecticut courts have held, on
20 similar facts, that the general public is no longer as
21 familiar with horsemanship as it arguably was at the
22 beginning of the twentieth century, and that expert
23 testimony is necessary to establish a standard of care and a
24 breach of that standard. Keeney v. Mystic Valley Hunt Club,
25 Inc., 889 A.2d 829, 833-34 (Conn. App. Ct. 2006).

26
27 As the district court held, Appellants' claims required
28 the support of expert testimony. The intricacies of
29 horseback riding technique and horsemanship are no longer
30 within the bounds of ordinary knowledge or experience of
31 judges and jurors. Questions such as whether the stirrups
32 were improperly installed and whether the pony was of
33 sufficient size to carry the rider are not questions that
34 the average juror can decide based on past knowledge or
35 experience. We therefore agree that Ellis needed expert
36 testimony to show both a standard of care and a breach of
37 that standard.

38
39 Andres claimed a generalized familiarity with camp
40 education. However, Federal Rule of Evidence 702 requires
41 expertise based on specialized knowledge and experience, not
42 a mere understanding derived from others' publications. "A
43 witness who is qualified as an expert by knowledge, skill,
44 experience, training, or education may testify in the form
45 of an opinion if the expert's scientific, technical, or
46 other specialized knowledge will help the trier of fact to
47 understand the evidence or to determine a fact in issue."

1 Fed. R. Evid. 702(a); see also Marvel Characters, Inc. v.
2 Kirby, 726 F.3d 119, 135 (2d Cir. 2013). Andres does not
3 rise to the level of expertise required to opine on the
4 matters at hand. Andres has practically no knowledge or
5 experience relating to horsemanship -- his resume makes no
6 reference to any such knowledge, and his investigation
7 merely points to three publications that he relied on when
8 preparing his report. Andres's resume instead highlights a
9 wide array of fields and organizations in which he has
10 obtained certifications or is a member. Appellants argue
11 that Andres's membership in the ACA broadly reaches all camp
12 recreations. This broad qualification falls well short of
13 the specialized knowledge that Federal Rule of Evidence 702
14 demands. The district court therefore did not abuse its
15 discretion in its decision to exclude Andres's testimony.
16

17 Appellants' failure to provide necessary expert
18 testimony precludes them from presenting these claims under
19 Connecticut state law. See LePage, 809 A.2d at 511. Thus,
20 there are no issues of material fact raised to challenge the
21 district court's entry of summary judgment.
22

23 For the foregoing reasons, and finding no merit in
24 Appellant's other arguments, we hereby **AFFIRM** the judgment
25 of the district court.
26

27 FOR THE COURT:
28 CATHERINE O'HAGAN WOLFE, CLERK
29